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Medical Oncologist of the Breast Cancer Program, Hospital Moinhos de Vento, Porto Alegre, Brazil Ask-the-Expert Webinar

Mechanisms of endocrine therapy resistance and treatment options in hormone receptor positive breast cancer

WILEY Breast Cancer Knowledge Hub

Conflicts of Interest

Gustavo Werutsky

Institutional financial interest

<u>Research grants/ Local PI/ Steering Committee</u>: AstraZeneca, Astellas, BeiGene, BMS, Roche, Ipsen, Janssen, Libbs, Lilly, MSD, Novartis, Roche, Pfizer and Takeda.

Personal financial interest

Honoraria/ Advisory: AstraZeneca, MSD, Novartis, Daiichi, Roche. Wiley related to this presentation.

Non-financial interest

LACOG Executive Director, member of the Breast International Group (BIG) Executive Board and the EORTC Breast Cancer Group Steering Committee.

Learning Objectives

- Overview of the mechanisms of endocrine resistance in breast cancer
- Development of CDK 4/6 inhibitors in breast cancer and its clinical benefit in early and metastatic disease
- Understand the mechanisms of acquired resistance to endocrine therapy plus CDK4/6 inhibitors and potential treatment combinations to overcome resistant luminal tumors
- Treatment selection in clinical practice after disease progression on a CDK4/6 inhibitor and future areas of research

Individual patient-level analysis from the Mammella Intergruppo (MIG) and Grupo Italiano Mammella (GIM) Studies (1992-2012)

- Using ESO/ESMO definitions, evaluated outcome in 6612 pts with HR+/HER2-ESBC enrolled in 4 ph III randomized trials evaluating chemo, ET and duration of ET
 - Median age 60, median FU 9 years; 9.7% with DR, primarily in bone
- 493 had DR as first DFS event
 - 14.6% primary endocrine resistant, 42% secondary endocrine resistant, 43.4% endocrine sensitive
 - Primary ER: more often young, and with higher incidence of visceral mets (particularly liver)



OS based on Endocrine Sensitivity

Oncogenic signaling pathways in HR + /HER2– ABC with potential therapeutic strategies post CDK4/6 inhibitors



Ma J. npj Breast Cancer, 9: 74 (2023)

AURORA RNA-seq of paired primary tumors and metastatic samples

A Subtype switching, on IHC subtypes



Luminal A primaries switched in metastatic samples (90% of cases)



B Subtype switching, on PAM50

Distribution of the distances between primaries and metastases in term of expression of the PAM50 genes, in function of the clinical subtype





Difference in immune signal between primary and metastasis across PAM50 subtypes

Cancer Discov 2021 Nov;11(11):2796-2811

Overview of Clinical Trials with CDK 4/6 Inhibitors in Advanced Breast Cancer



1. Goetz PM, et al. J Clin Oncol. 2017;35:3638-3646; 2. Sledge GW Jr, et al. J Clin Oncol. 2017;35:2875-2884; 3. Dickler MN, et al. Clin Cancer Res. 2017;35:18-5224; 4. Finn RS, et al. N Engl J Med. 2016;375:1925-1936; 5. Cristofanilii M, et al. Lancet Oncol. 2016;17:425-439; 6. Hortobagyi GN, et al. N Engl J Med. 2016;375:1738-1748; 7. Slamon DJ, et al. J Clin Oncol. 2018;36:2465-2472; 8. Tripathy D, et al. Lancet Oncol. 2018;19:904-915. 9. Xu B, et al. SMO 2022 10. Xu B, et al. Nature Medicine volume 27, pages 1904–1909 (2021)

Werutsky G. unpublished

Consistent PFS Results in First-line CDK 4/6 Inhibitors Trials







Finn N Engl J Med 2016; Goetz J Clin Oncol 2017; Hortobagyi N Engl J Med 2016; Tripathy Lancet Oncol 2018; Xu ESMO 2022; Johnston npj Breast Cancer (2019)5:5

Differences in Pharmacokinetics and Toxicity Between CDK 4/6 Inhibitors

MONARCH-3

Abemaciclib

NSAI

46.5%

16.5%

	Palbociclib	Ribociclib	Abemaciclib			
IC ₅₀ (nM)						
Cyclin D1-CDK4	11	10	2			
Cyclin D1/2/3-CDK6	16	39	10			
Cyclin B-CDK1	>10 000	113000	1627			
Cyclin A/E-CDK2	>10 000	76000	504			
Cyclin T-CDK9	NR	NR	57			
Mean terminal half-life (h)	26	36	17-38			
Standard dosing	125 mg daily (3 weeks on, 1 week off)	600 mg 150 mg daily 3 weeks in combir on, 1 week antioestro off) 200 mg to as monot		ce daily on with n; 2 daily apy		
Trial Details		PALOMA-2	MON	ALEESA-2	MONALEESA-3	MONALEESA-7
CDK 4/6 Inhibitor		Palbociclib	Riboo	iclib	Ribociclib	Ribociclib
Endocrine therap	у	Letrozole	Letro	zole	Fulvestrant	Gosrelin plus tamoxifen or NSAI
% ≥1 dose reduct AE (combination	ion due to arm)	36%	50.6%	6	n/a	31%

Likelihood of being helped or harmed (LHH) for PFS



	Palbociclib [95% Cl]	Ribociclib [95% CI]	Abemaciclib [95% CI]
Neutropenia (G3-4)	0.33 [0.24-0.43]	0.35 [0.26-0.46]	0.90 [0.57-1.38]
Febrile neutropenia	14.27 [6.66-55.65]	15.52 [7.89-48.74]	28.53 [9.74-∞]
Diarrhea (Any grade)	3.22 [1.42-13.91]	2.52 [1.04-30.46]	0.42 [0.27-0.60]
Fatigue (G3-4)	17.56 [6.19-∞]	14.41 [6.86-48.74]	9.92 [4.74-27.73]
Transaminase increase (G3-4)	6.01 [2.84-18.55]	1.75 [0.94-3.93]	5.07 [1.91-∞]
All adverse events (G3-4)	0.45 [0.31-0.61]	0.43 [0.31-0.58]	0.62 [0.41-0.89]
Dose reduction	0.65 [0.43-0.94]	0.54 [0.32-0.97]	0.56 [0.39-0.75]
Discontinuation	6-17 [2-48-92-76]	4.69 [1.81-∞]	2.09 [1.25-3.60]

Adapted Spring LM. Lancet 2020; 395: 817–27; A. Grinshpun et al. npj Breast Cancer (2023) 15; Mastrantoni L. eClinicalMedicine 2023;56: 101824

7.5%

n/a

4%

9.7%

% treatment discontinuation

(combination arm)

PAM50 Intrinsic Subtype and PFS Across the MONALEESA 2, 3 and 7 Trials



Early drop in ctDNA levels correlates with prognostic in patients treated with CDK4/6i + ET



Early PIK3CA ctDNA dynamics predict progression-free survival (PFS) on palbociclib and fulvestrant more strongly than ESR1 dynamics



o'leary b. nature communications | (2018)9:896

Mechanisms of ET and CDK 4/6 inhibitor acquired resistance





MAINTAIN: continuing CDK 4/6 inhibitors post progression



Fulvestrant as endocrine therapy in pts with progression on a prior aromatase inhibitor for MBC and no prior fulvestrant; Protocol amended to allow exemestane
as endocrine therapy if progression on prior fulvestrant (September 2018); Ribociclib 600 mg administered 3 weeks on/1 week off

- Prior CDK4/6 inhibitor: 87% Palbociclib
- Prior duration of CDK 4/6 inhibitor: 15.5 vs 17months
- 60% visceral metastasis



PACE: Palbociclib After CDK 4/6i and Endocrine Therapy

Luminal BC patients progressing to CDK 4/6i benefit from immunotherapy?







MONALESSA 2, 3, -7: Mechanisms of Acquired Resistance to Ribocilib Plus Endocrine Therapy



In the RIB arm, the frequency of alterations in RB1 (10.4% vs 2.0%), ATM (11.3% vs 8.4%), FAT1 (4.8% vs 3.0%), FAT3 (5.0% vs 2.5%), and TET2 (11.6% vs 8.6%) was higher at EOT vs BL (FDR-adj P < .10)

- GATA3 was higher at EOT vs BL in the ET alone arm but not with the combination of RIB + ET
- In both arms, the frequency of alterations in ESR1 was also higher at EOT vs BL for RIB (26.3% vs 9.1%) and PBO (28.9% vs 5.4%) (FDR-adj P < .0001)



• An increase in TMB from BL to EOT was observed in both arms (P < .0001)

• In the RIB arm, the percentage of patients with high TMB (> 10 mutations/Mb) increased from BL (1.1%) to EOT (5.7%) (McNemar P = .0001)

PADA-1: characterization of ESR1 mutations with aromatase inhibitor or fulvestrant + palbociclib therapy

PADA-1: Updated PFS results





	ASCO 2023	2021 analysis ¹				
Designation of the second	FUL + PAL	AI + PAL	FUL + PAL	AI + PAL		
mPFS, mo (95% Cl)	12.8 (9.3-14.7)	5.8 (3.9-7.5)	11.9	5.7		
HR (95% CI)	0.54 (0.3)	0.61				
Optional crossover (n=49) mPFS (95% CI)	3.5 (2.4–5.4)					

New frontiers for ER inhibition and drug development



Ferraro; Cancer Treat Rev 2022

ESR1 Mutations and OS on Fulvestrant vs Exemestane in Advanced HR+ Breast Cancer: A Combined Analysis of the Phase III SoFEA and EFECT



Figure 2.

PFS in the combined analysis of SoFEA and EFECT by *ESR1* mutation status and treatment. Patients with *ESR1* mutation detected: HR, 0.59; 95% Cl, 0.39-0.89; P = 0.01. Patients without *ESR1* mutation detected: HR, 1.05, 95% Cl, 0.81-1.37; P = 0.69. Interaction test P = 0.02. E, exemestane; F, fulvestrant; mth, month; mutant, *ESR1* mutation detected; wild-type, *ESR1* mutation not detected.



Figure 3.

OS in the combined analysis of SoFEA and EFECT by *ESR1* mutation status and treatment. Patients with *ESR1* mutation detected: restricted mean survival analysis P = 0.04. Patients without *ESR1* mutation detected: restricted mean survival analysis P = 0.69. E, exemestane; F, fulvestrant; mth, month; mutant, *ESR1* mutation detected; wild-type, *ESR1* not detected.

Oral SERD trial landscape in pretreated HR+/HER2- metastatic breast cancer

	EMERALD ¹	SERENA-2 ²	EMBER-3 ³ AMEERA-3 ⁴⁻⁶		acelERA ⁶⁻⁹
Treatment	Elacestrant	Camizestrant	Imlunestrant +/- abemaciclib	Amcenestrant	Giredestrant
Control Arm	fulvestrant / Als	fulvestrant	fulvestrant / exemestane	fulvestrant / Als / tamoxifen	fulvestrant / Als
Phase (n)	Phase 3 (478)	Phase 2 (240)	Phase 3 (800)	Phase 2 (367)	Phase 2 (303)
Patients	Men or postmenopausal women	Postmenopausal women	Men or postmenopausal women	Men or women (any menopausal status)	Men or women (any menopausal status)
Prior CDK4/6i	Required (100%)	Permitted	Permitted	Permitted (79.7%)	Permitted (42%)
Allowed Prior Fulvestrant	YES	NO	NO	YES	YES
Allowed Prior Chemotherapy in mBC	YES	YES	NO	YES	YES
Data readout	Positive (Registrational)	Positive (Non-Registrational)	Ongoing	Negative	Negative

1. Bidard FC, et al. *J Clin Oncol.* 2022;40(28):3246-3256. 2. SERENA2. ClinicalTrials.gov identifier: NCT04275308. Accessed November 18, 2022, https://clinicaltrials.gov/ct2/show/NCT04275308; 4. AMEERA3. ClinicalTrials.gov identifier: NCT04059484. Accessed November 18, 2022. https://clinicaltrials.gov/ct2/show/NCT04059484; 5. Tolaney SM, et al. *Ann Oncol.* 2022; 33(7):S88-S121 (Abstr 212MO); 6. Evaluate Vantage. https://www.evaluate.com/vantage/articles/news/trial-results/roche-has-rare-breast-cancer-setback. Accessed July 20, 2022; 7. acelERA ClinicalTrials.gov identifier: NCT04576455; 8. Martin M, et al. *J Clin Oncol.* 2021;39(15):abstr TPS1100; 9. Martin Jimenez M, et al. *Ann Oncol.* 2022;33(7):S88-S121 (abstr 211MO).

Study design: acelERA BC (NCT04576455)





SERENA-2 study overview





Martin M. ESMO 2022; Oliveira M SABCS 2022

EMERALD Phase 3 Study Design

International, multicenter, randomized, open-label, active-controlled, event-driven, phase III study

cycle3+: d1)

Patient Inclusion Criteria (N = 466)

- Aged \geq 18 years
- Locally advanced or metastatic breast adenocarcinoma not amenable to curative therapy
- ER+/HER2- disease
- Postmenopausal
- Measurable disease or non-measurable bone-only disease
- 1 to 2 lines of previous ET and 0 to 1 lines of chemotherapy for metastatic disease
- Prior CDK4/6 inhibitor treatment with fulvestrant or an aromatase inhibitor (AI)
- Appropriate candidate for ET
- Confirmed estrogen receptor 1 (*ESR1*) mutation status

Elacestrant 400 mg orally, once daily 1:1 randomization Investigator's choice of anastrozole 1 mg/d, exemestane 25 mg/d, letrozole 2.5 mg/d, fulvestrant 500 mg (cycle 1: d1, 15; cycle 2: d1;

End Points

Primary:

- PFS in all patients
- PFS in patients with ESR1 mutations only

Secondary:

- Overall survival (OS) in all patients
- OS in patients with ESR1 mutations only

Stratification factors: *ESR1* mutation status, presence of visceral metastases, previous fulvestrant treatment

EMERALD: Primary endpoint PFS in all pts and ESR1 mut only Patients with ESR1mut

No. at risk:

All pts (ITT)





Time (months)

Elacestrant 1 1 1 0 SOC



EMERALD: PFS by duration prior CDK4/6i (ESR1mut)



PFS rate at 12 months, %

Hazard ratio (95% CI)

(95% CI)

35.79

(19.54 - 52.05) (0.00 - 20.20)

0.466

(0.270 - 0.791)

7.73

Elacestrant	SOC Hormonal Therapy		Elacestrant	SOC Hormonal Therapy
4.14 (2.20 - 7.79)	1.87 (1.87 - 3.29)	Median PFS, months (95% CI)	8.61 (4.14 - 10.84)	1.91 (1.87 - 3.68)
26.02 (15.12 - 36.92)	6.45 (0.00 - 13.65)	PFS rate at 12 months, % (95% CI)	35.81 (21.84 - 49.78)	8.39 (0.00 - 17.66)
0.5 (0.361 ·	- 0.738)	Hazard ratio (95% CI)	0.4 (0.262 ·	10 0.634)

PFS rate at 12 months, %

Hazard ratio (95% CI)

(95% CI)

ET resistance and impact on sequential use of ET +- combinations or new agents

EMERALD



SERENA-2



acelERA



How do we select from our available treatments? Fulvestrant Rechallenge CDK4/6 inhibitors (+ ET) Fulvestrant + Capivasertib Exemestane (Fulvestrant) + Everolimus Fulvestrant + Alpelisib (in PIK3CAmut) Elacestrant (in ESR1mut) Olaparib – Talazoparib in gBRCA1/2mut ADCs

Non-candidates to ET

Bidard FC et al. J Clin Oncol 40:3246-3256; Martin M. ESMO 2022; Oliveira M SABCS 2022

SOLAR-1: Alpelisib in *PIK3CA*-Mutated HR+/HER2- MBC



ORR: 35.7% vs. 16.3%

PIK3CA mutation by means of polymerase-chain-reaction analysis of mutation hot spots in the C2, helical, and kinase domains of PI3K (corresponding to exons 7, 9, and 20, respectively) with the use of a tumor-tissue sample

CAPItello-291: Study overview

Phase III, randomized, double-blind, placebo-controlled study (NCT04305496)

Patients with HR+/HER2- ABC

- Men and pre-/post-menopausal women
- Recurrence or progression while on or <12 months from end of adjuvant AI, or progression while on prior AI for ABC
- ≤2 lines of prior endocrine therapy for ABC
- ≤1 line of chemotherapy for ABC
- Prior CDK4/6 inhibitors allowed (at least 51%) required)
- No prior SERD, mTOR inhibitor, PI3K inhibitor, or AKT inhibitor
- HbA1c <8.0% (63.9 mmol/mol) and diabetes not requiring insulin allowed
- FFPE tumor sample from the primary/recurrent cancer available for retrospective central molecular testing



Dual primary endpoints

PFS by investigator assessment

 AKT pathway-altered tumors (≥1 qualifying PIK3CA, AKT1, or PTEN alteration)

Key secondary endpoints

Overall survival

AKT pathway-altered tumors

Objective response rate

AKT pathway-altered tumors

HER2- was defined as IHC 0 or 1+, or IHC 2+/ISH-. *Region 1: United States, Canada, Western Europe, Australia, and Israel, Region 2: Latin America, Eastern Europe and Russia vs Region 3: Asia.

ABC, advanced (locally advanced [inoperable] or metastatic) breast cancer.

Pre- or peri-menopausal women also received a luteinizing hormone-releasing hormone agonist for the duration of the study treatment

CAPItello-291: Primary endpoint PFS in ITT and AKT pathway alterations



- Visceral metastasis: 66% vs73%
- Endocrine resistance: primary 38% vs 41% secondary 61% vs 59%
- Prior ET for ABC: ≥1 90% vs 85%
- Previous CDK 4/6i for ABC: 72% vs 67%

Any AKT pathway alterations 43.7% vs 38%

- PIK3CA only 31% vs 26.1%
- PIK3CA and AKT1 0.6% vs 0.6%
- PIK3CA and PTEN 1.1% vs 1.5%
- AKT1 only 5.1% vs 4.2%
- PTEN only 5.9% vs 4.5%

Turner GS3-04, SABCS2022 N Engl J Med 2023; 388:2058-2070

Exploratory analysis of CDK 4/6 inhibitor efficacy by PIK3CA mutation status

PALOMA 3



MONARCH 2



Modified Hope Hugo; Cristofanilli. Clin Cancer Res 2022; 28:3433. Tonaley Clin Cancer Res 2022:28;1500

INAVO120: Study Design



- No prior therapy for ABC
- Fasting glucose <126 mg/dL and HbA_{1C} <6.0%

Stratification factors:

- Visceral Disease (Yes vs. No)
- Endocrine Resistance (Primary vs. Secondary)[†]
- Region (North America/Western Europe; Asia; Other)



Endpoints

Primary: PFS by Investigator

+ fulvestrant (500 mg C1D1/15 and Q4W)**

Secondary: OS[‡], ORR, BOR, CBR, DOR, PROs

* Central testing for *PIK3CA* mutations was done on ctDNA using FoundationOne[®]Liquid (Foundation Medicine). In China, the central ctDNA test was the PredicineCARE NGS assay (Huidu). [†] Defined per 4th European School of Oncology (ESO)–European Society for Medical Oncology (ESMO) International Consensus Guidelines for Advanced Breast Cancer.[†] Primary: relapse while on the first 2 years of adjuvant ET; Secondary: relapse while on adjuvant ET after at least 2 years or relapse within 12 months of completing adjuvant ET. [‡] OS testing only if PFS is positive; interim OS analysis at primary PFS analysis; ** Pre-menopausal women received ovarian suppression. ctDNA, circulating tumor DNA; R, randomized. 1. Cardoso F, *et al. Ann Oncol* 2018;**29**:1634–1657.

INAVO120: Primary endpoint PFS (investigator-assessed)



CCOD: 29th September 2023

CI, confidence interval; Fulv, fulvestrant; Inavo, inavolisib; mo, months; Palbo, palbociclib; Pbo, placebo; PFS, progression-free survival.

Endocrine resistance:

- 34% primary
- 66% secondary

High risk features:

- 48% premenopausal
- 80% visceral mets, 50% liver mets

Antibody-Drug Conjugates mechanism of action



Signal Transduction and Targeted Therapy 2022

Antibody-drug conjugates for the treatment of luminal MBC progressing to prior chemotherapy

DESTINY-Breast04 Trastuzumab-DXd



Anti HER2

TROPiCS-02 Sacituzumab Govitecan



TROPION-Breast01 Datopotamab-DXd



Anti Trop2

DESTINY-Breast06: Trastuzumab deruxtecan HR+, HER2 low metastatic breast cancer whose progressed on endocrine



Outcome of early breast cancer patients with low hormone receptor positivity



Dieci MV. npj Breast Cancer 7, 101; Schrodi S. Ann Oncol. 2021 Nov;32(11):1410-1424



ER Low Positive (1% to 10%)

P < .001

Kimberly H. Allison, MD¹; M. Elizabeth H. Hammond, MD²; Mitchell Dowsett, PhD³; Shannon E. McKernin⁴; Lisa A. Carey, MD⁵; Patrick L. Fitzgibbons, MD⁶; Daniel F. Hayes, MD⁷; Sunil R. Lakhani, MD^{8,9}; Mariana Chavez-MacGregor, MSc¹⁰; Jane Perlmutter, PhD¹¹; Charles M. Perou, PhD⁵; Meredith M. Regan, ScD¹²; David L. Rimm, MD, PhD¹³; W. Fraser Symmans, MD¹⁰; Emina E. Torlakovic, MD, PhD^{14,15}; Leticia Varella, MD¹⁶; Giuseppe Viale, MD^{17,18}; Tracey F. Weisberg, MD¹⁹; Lisa M. McShane, PhD²⁰; and Antonio C. Wolff, MD²¹

Estrogen and Progesterone Receptor Testing in

Breast Cancer: ASCO/CAP Guideline Update

Pathological Complete Response (ypT0/Tis ypN0) in Subgroups

	9	No. with pCR/No. of	Rate Difference	
Subgroup	F	embrolizumab Arm	Placebo Arm	(95% CI)
Overall	+	154/635 (24.3)	100/643 (15.6)	8.5 (4.2 to 12.8)
Age category	1			
<65 years		135/546 (24.7)	89/567 (15 7)	9 0 (4.3 to 13.8)
≥65 years —		19/89 (21 3)	11/76 (14.5)	6 9 (-5 2 to 18 6)
ECOG PS	1.1			
0		142/570 (24.9)	91/588 (15.5)	9.4 (4.8 to 14.1)
1		12/65 (18.5)	9/55 (16.4)	2.1 (-12.2 to 15.8)
PD-L1 status			and the descent of the	
Positive (CPS ≥1)		143/482 (29.7)	96/489 (19.6)	9.8 (4.4 to 15.2)
Negative (CPS <1)		11/153 (7.2)	4/154 (2.6)	4.5 (-0.4 to 10 1)
Anthracycline schedule				
Every 3 weeks		97/415 (23.4)	55/425 (12.9)	10.4 (5.3 to 15.7)
Every 2 weeks		54/183 (29.5)	44/187 (23.5)	6.0 (-3.0 to 15.0)
Tumor size				
T1/T2		111/402 (27.6)	71/413 (17.2)	10.4 (4.7 to 16.1)
T3/T4	-	43/233 (18.5)	29/230 (12.6)	5.8 (-0.8 to 12.5)
Nodal status		Anterna		
Positive		143/570 (25.1)	92/582 (15.8)	9.3 (4.6 to 13.9)
Negative	-	11/65 (16.9)	8/61 (13.1)	3.8 (-9.2 to 16.7)
ER positivity				
≥10%		135/601 (22.5)	87/600 (14.5)	8.0 (3.6 to 12.4)
<10%		19/34 (55.9)	13/43 (30.2)	25.6 (3.3 to 45.8)
-30 -20 -10 0 Difference in pC	10 20 30 40 R rate (percentage point	50 s)		
Favors Placebo Arm	Favors Pembrolizumab Arm			

For the overall population, analysis is based on Miettinen and Nurminen method stratified by the analysis randomization stratification factors. For other subgroups, analysis is based on unstratified Miettinen and Nurminen method. Data cutoff date: May 25, 2023.



Α

14

Abbreviations: ER, estrogen receptor; HER2, human epidermal growth factor receptor 2: IHC, immunohistochemistry: PAM50, multigene test used to assign molecular class to individual breast cancers.¹⁶

Impact of adjuvant aromatase inhibitors and duration of treatment



Lancet. 2015 Oct 3;386(10001):1341-1352 SABCS 2028 Abst. GS3-03

Extended Aromatase Inhibitor



Trial Assigning Individualized Options for Treatment (TAILORx): An Update Including 12-Year Event Rates



End- point	Event Rate	Arm B RS 11-25 ET (n=3399)	Arm C RS 11-25 CET (n=3312)
IDFS	5 years	92.8% (0.5)	93.1% (0.5)
	12 years	76.8% (0.9)	77.4% (0.9)
		98.0%	98.2%
DREI	5 years	(0.3)	(0.2)
	12 years	92.6% (0.5)	92.8% (0.5)
-		06.0%	07.0%
RFI	5 years	(0.3)	(0.3)
	12 years	89.6% (0.6)	90.5% (0.6)
		00.00/	00.10/
OS	5 years	98.0% (0.2)	98.1% (0.2)
	12 years	89.8% (0.6)	89.8% (0.6)

Sparano SABCS2022

PAM50 ROR Predictive results- overall cohort

[Endpoint = BCFI]



$p_{int}(E + OFS) = 0.1; (T + OFS) = 0.2$



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ASCO AMERICAN SOCIETY OF CLINICAL ONCOLOGY KNOWLEDGE CONQUERS CANCER

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PENELOPE-B: prognosis according to BC subtype and effect of palbociclib





Figure 3: iDFS by AIMS subtype and treatment in the AIMS-luminal cohort

monarchE Study Design and Primary Endpoint Results



The KM curves continue to separate and the absolute difference in IDFS rates between arms was 7.6% at 5 years

NATALEE Study Design and Primary Endpoint Results





93.5%

90.7%

100

90

OlympiA: Olaparib for BRCA 1/2 mutated early BC patients



Timeline of Targeted Therapies Approval for HR+ HER2-Metastatic Breast Cancer







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WILEY



Valentina Guarneri, MD, PhD

Department of Surgery, Oncology and Gastroenterology University of Padova Istituto Oncologico Veneto IRCCS, Padova, Italy Ask-the-Expert Webinar

CDK4/6i: side effects and impact on QoL

WILEY Breast Cancer Knowledge Hub

Learning objectives

- 1.Understand the common side effects associated with CDK4/6 inhibitors, including hematologic and non-hematologic adverse events
- 2.Evaluate the available evidence regarding the impact of CDK4/6 inhibitor therapy on quality of life
- 3.Recognize the strategies to prevent and manage CDK4/6 inhibitorrelated side effects
- 4.Recognize the unique considerations for managing CDK4/6 inhibitorrelated side effects in elderly patients

DECLARATION OF INTERESTS

Advisory Board: EliLilly, Novartis, MSD, Gilead, Eisai, Merck Serono, Exact Sciences, Pfizer, Olema Oncology, Daiichi-Sankyo, Astra Zeneca, PierreFabre, Zentiva, Menarini Stemline

Speaker's Bureau: EliLilly, Novartis, GSK, Amgen, Gilead, Astra Zeneca, Exact Sciences, Menarini Stemline

Expert Testimony: EliLilly

CDK 4/6i-based tx for HR+/HER2- MBC: crystallized first-line



Chemical structure of selective CDK 4/6i











Hematological AEs

	Palbociclib [^]			Ribociclib°			Abemaciclib*		
	All	G3	G4	All	G3	G4	All	G3	G4
Neutropenia	80	56	10	74	50	9.6	41	19.6	1.5
Leukopenia	39	24	1	33	20	1.2	20.8	7.3	0.3
Anemia	24	5	<1	19	0.9	0.3	28.4	5.8	0
Thrombocytopenia	16	1	<1	9.0	0.6	0	-	-	-

Febrile neutropenia: palbociclib 1.8%; ribociclib 1.5%.

^ AEs ≥10% of study population
∘AEs ≥5% of study population
*AEs ≥15% of study population

Finn et al NEJM 2016; Cristofanilli et al, Lancet Onc 2017; Hortobagyi et al, NEJM 2016; Slamon et al, JCO 2018; Tripathy et al, Lancet Onc 2018 Sledge et al, JCO 2017; Goetz et al, JCO 2017

Biological drivers of CDK 4/6i-related neutropenia

CDK4/6 inhibitors arrest the cell cycle at G1 preventing cyclin D–CDK4/6 activity However, **neutrophils depend on cyclin D–CDK4/6 activity to enter the cell cycle and proliferate** The effect on neutrophil proliferation is **reversible** with CDK4/6 inhibitors

VeloblastVeloblastVelocyteVeloc

Cell cycle proteins during granulopoiesis¹

1. Klausen P, et al. J Leukoc Biol. 2004;75:569–578; 2. Kumar S, Fillippi M-D. In Gabrilovich D, ed. The Neutrophils. 3rd ed. London: Imperial College Press; 2013:1–41; 3. Roberts PJ, et al. J Natl Cancer Inst. 2012;104:476–487; Graphic adapted from: Klausen P, et al. J Leukoc Biol. 2004;75:569–578.

p130, Rb-like protein 2; p-pRb, phosphorylated retinoblastoma protein; p27kip1, CDK inhibitor

Management of neutropenia in clinical practice

MONITORING & DOSE ADJUSTMENT

- No dose adjustment is required for G1-2 neutropenia
- Consider dose reduction in cases of recurrent uncomplicated G3 neutropenia in subsequent cycles
- Consider dose reduction in cases of prolonged (>1 wk) recovery from G3 neutropenia
- In case of febrile neutropenia, hold until recovery to G2, then restart at the next lower dose



NON-hematological AEs

A de como o	Palbociclib [^]				Ribociclib°			Abemaciclib*		
Event	All	G3	G4	All	G3	G4	All	G3	G4	
Nausea	35	<1	0	52	2.4	0	38.5	0.9	-	
Infections§				50	3.6	0.6	39	4	0.9	
Fatigue	37	2	0	37	2.1	0.3	40	1.8	-	
Diarrhea	26	1	0	35	1.2	0	81	9.5	0	
Alopecia	33	0	0	33	-	-	26.6	-	-	
Vomiting	16	<1	0	29	3.6	0	28.4	5.8	0	
Constipation	19	<1	0	25	1.2	0	15.9	0.6	0	
Headache	21	<1	0	22	0.3	0	15.6	0.6	-	
↓ Appetite	15	1	0	19	1.5	0	24.5	1.2	0	
Rash	18	1	0	17	0.6	0				
ALT increased				16	7.5	1.8	15.6	5.8	0.3	
AST increased				15	4.8	0.9				

[§]Paloma 2: upper respiratory tract infection 13.3% G1-2

Urinary tract infection 11.9% G1-2, 1.1% G3

^AEs ≥10% of study population; ∘AEs ≥5% of study population; *AEs ≥15% of study population

Finn et al NEJM 2016; Cristofanilli et al, Lancet Onc 2017; Hortobagyi et al, NEJM 2016; Slamon et al, JCO 2018; Tripathy et al, Lancet Onc 2018; Sledge et al, JCO 2017; Goetz et al, JCO 2017

Abemaciclib-related diarrhea - ABC

Characteristics of diarrhea within MONARCH 2 and MONARCH 3 trials

MONARCH 3: Landmark analysis of PFS with/without diarrhea (any grade) within 7 days



Dickler MN et al. Clin Care Res 2017; Rugo H R et al, ASCO 2018; Johnston et al, NPJ Breast Cancer 2019

Abemaciclib-related diarrhea - EBC

MONARCH-E



DIARRHEA ONSET, SEVERITY AND DURATION

- Median time to onset of diarrhea was 8 days and incidence decreased over time
- Diarrhea was mostly low grade (Grade1/2: 76%)
- Grade 2/3 events were highest in the first few months, mostly lasting ≤7 days and did not recur

DISCONTINUATION OF ABEMACICLIB DUE TO DIARRHEA

- Discontinuation rate due to diarrhea was low (5.3%) most were due to low grade events
- Most discontinuations occurred within the first 3 months (74% of those without a prior dose reduction)
- ~30% of patients had Grade 1 diarrhea in second year of treatment, but ≤0.6% patients had dose adjustments

*In the by month analyses, number of patients at risk each month is used as the denominator to calculate % of events. [†]There were no Grade 4 events and 1 Grade 5 event. AFU1: Additional Follow-Up 1.

Management of diarrhea

NCI-CTCAE Grade or Profile	Dose Modification
At the first sign of loose stools, start treatment w	rith antidiarrheal agents, such as loperamide
Grade 1	
Increase of <4 stools/day over baseline (BL); mild increase in	No dose modification is required
ostomy output compared to BL	
Grade 2	If toxicity does not resolve within 24 hours to
Increase of 4-6 stools/day over BL; moderate increase in	Grade ≤1, suspend dose until resolution;
ostomy output compared to BL	dose reduction is not required
Grade 2 that persists or recurs after resuming the	Suspend dose until toxicity resolves to Grade ≤1;
same dose despite maximal supportive measures	resume at <i>next lower dose</i>
Grade 3 or 4 or requires hospitalization	
G3: Increase of ≥7 stools/day over BL; incontinence;	
hospitalization indicated; severe increase in ostomy output	Suspend dose until toxicity resolves to Grade ≤1;
compared to BL; limiting self-care activities of daily living	resume at <i>next lower dose</i>
G4: Life-threatening consequences; urgent intervention	
indicated	



Abemaciclib-related effect of creatinine

- The increase in SCr due to abemaciclib results from **inhibition of a molecular pump** that transports creatinine from the blood to the urine
- One consequence of this inhibition is the **potential for drug-drug interactions** with compounds that use this pathway for elimination (eg, metformin)
- The increase in SCr occurs within the first 28-day cycle of abemaciclib, remains elevated but stable throughout the treatment period and is reversible upon treatment discontinuation¹⁻³
- **Renal function (GFR) is not impacted**, as other measures of GFR that do not rely on SCr (such as cystatin C-calculated GFR) are not affected by abemaciclib treatment^{1,2,4}

- 1. Patnaik A et al. *Cancer Discov* 2016;6:740-53
- 2. Dickler MN et al. *Clin Care Res* 2017;(Ahead of print)
- 3. Data on file; Eli Lilly and Company
- 4. Sledge GW et al. J Clin Oncol 2017; (Ahead of print)
- 5. Milburn J et al. *Nephrol Dial Transplant* 2017;32: 434–439

VTE events

RCT trials (n=4557) = PALOMA 1-2-3, MONARCH 2-3, MONALEESA 2-3-7

- VTE events: 56 (2%) in the CDK 4/6+HT vs 10 (0.5%) in HT alone.
- Pooled **RR 2.62** (95% CI 1.21-5.659)



Subgroup	Number of studies (number of patients)	VTE events/number of patients (CDKI arm)	VTE events/number of patients (control arm)	Pooled RR (95% CI)	I^2 (%), <i>P</i> value
Palbociclib containing regimen	3 (1343)	14/872	3/471	2.33 (0.36, 15.19)	48%, 0.38
Abemaciclib containing regimen	2 (1152)	29/768	2/384	6.77 (1.61, 28.43)	0%, 0.009*
Ribociclib containing regimen	3 (2062)	13/1153	5/909	2.19 (0.80, 5.97)	0%, 0.13
First line treatment	5 (2650)	40/1523	8/1127	2.75 (0.98, 7.75)	34%, 0.06
Second line treatment	2 (1181)	15/786	1/395	5.14 (0.96, 27.38)	0%, 0.06
Fulvestrant ET	3 (1907)	16/1270	2/637	2.73 (0.63, 11.91)	5%, 0.18
Non-fulvestrant ET	5 (2650)	40/1523	8/ 1127	2.75 (0.98, 7.75)	34%, 0.06

VTE events: focus on abemaciclib

	MONAR	CH 2	MONAR	СН 3	MONARCH E*	
	Abemaciclib + Fulvestrant (N=441)	Placebo + Fulvestrant (N=223)	Abemaciclib + NSAI (N=327)	Placebo + NSAI (N=161)	Abemaciclib + HT (N=2791)	HT (N=2800)
VTE (all grade)	21 (4.8%)	2 (0.9%)	20 (6.1%)	1 (0.6%)	71 (2.5%)	17 (0.6%)
PE	11 (2.5%)	0	11 (3.4%)ª	1 (0.6%)	28 (1.0%)	4 (0.1%)
DVT	10 (2.3%)	2 (0.9%)	9 (2.8%)	0		
Grade ≥3	9 (2.0%)	1 (0.4%)	10 (3.1%)	1 (0.6%)		
Death	0	0	3 (0.9%) ^b	0	0	0
SAE	8 (1.8%)	1 (0.4%)	9 (2.8%)	1 (0.6%)	34 (1.2%)	8 (0.3%)
Treatment discontinuation	2 (0.5%)	0	4 (1.2%) ^c	0	14 (0.5%)	-
Dose reduction	2 (0.5%)	0	0	1 (0.6%)	-	-

VTE with abema+tam vs tam: 34 (4.3) vs 6 (0.7); VTE with abema+AI vs AI: 34 (1.8) vs 11 (0.6)

Approximately 50% (33 of 71) of the VTE events occurred **within the first 180 days**; trend for higher incidence of PE and G3/4 VTE with increasing <u>BMI</u>

Abbreviations: DVT = deep vein thrombosis; N = number in study population; NFI = no further information ; NSAI = nonsteroidal aromatase inhibitor; PE = pulmonary embolism; SAE = serious adverse event; VTE = venous

thromboembolic event.

a 3 patients experienced both PE and DVT

b PE and DVT; thromboembolism NFI; respiratory failure

c includes 3 patients who died

Rugo et al, Annals Oncology 2022; Toi et al, ESMO BC 2021; Johnston et al, NPJ Breast Cancer 2019; Neven et al, CCR 2021



 Uncomplicated prolongation of the QT interval has been associated with palbociclib and ribociclib treatment in a dose-dependent manner, while based on evaluation of the QTc interval in pts and healthy volunteer study, abemaciclib did not cause large mean increase (i.e. 20 ms) in the QTc interval

U	INDUCICLID	MONALEESA 2 (600mg)	MONALEESA 3 (600 mg)	MONALEESA 7 (600 mg)	NATALEE (400 mg)
		Ribociclib + letrozole	Ribociclib + Fulv	Ribociclib + HT	Ribociclib + NSAI
	QTcF prolongation any grade	4.5%	6.2%	12.5%	5.2
	QTcF prolongation grade ≥3/>480 msec	3.3%	5.6%	1.8	1.0
	QTcF prolongation grade ≥3/>500 msec		1.7%	0	0.1

Focus on RIBOCICLIB

• Any concomitant medication administered to the patient for side-effect management should be carefully reviewed to avoid the co-administration of medications known to increase the risk of QTcF prolongation

Sammons SL, et al. Current Cancer Drug Targets, 2017; Hortobagyi et al, NEJM 2016; Slamon et al, JCO 2018; Tripathy et al, Lancet Onc 2018; Slamon et al, ASCO 2023

ILD: scale of the problem

• FDA safety announcement

[9-13-2019] The U.S. Food and Drug Administration (FDA) is warning that [...]palbociclib, [...] ribociclib, and [...] abemaciclib used to treat some patients with advanced breast cancers may cause **rare but severe inflammation of the lungs**. We have approved new warnings about this risk to the prescribing information and Patient Package Insert for the entire class of these cyclin-dependent kinase 4/6 (CDK 4/6) inhibitor medicines. The overall benefit of CDK 4/6 inhibitors is still greater than the risks when used as prescribed.

	PALBOCICLIB	RIBOCICLIB	ABEMACICLIB
	PALOMA 1-2-3	MONALEESA 2-3-7	MONARCH 1-2-3
Registration trials for ABC	Any grade: 1% G3-G4: 0.1% G5: no cases	Any grade: 1.1% G3-G4: 0.3% G5: 1 case	Any grade: 3.3% G3-G4: 0.6% G5: 0.4%
Post-marketing (ABC)	Additional cases with fatalities reported	Additional cases with fatalities reported	Additional cases with fatalities reported

ILD: management



Ibrance prescribing information, Ribociclib prescribing information, Abemaciclib prescribing information

CDK 4/6i-related quality of life (QoL) – ESMO-MCBS



Cherny NI et al, Ann Oncol 2015; Cherny NI et al, Ann Oncol 2017

CDK 4/6i-related quality of life (QoL) - ABC

Drug	Trial	CDK4/6i > control*		CDK4/6i = control		CDK4/6i < control**
PALBOCICUB	PALOMA 2	PALOMA 2 Pain scores		GHS/Ool		-
PALBOCICLID	PALOMA 3		TTD			Hair loss
	MONALEESA 2	TTD (pain, emotional, funct.)	Pain scores	EORTC QLQ-C30 GHS/QoL	EORTC QLQ-C30 GHS/QoL	-
RIBOCICLIB	MONALEESA 3		TTD (HR-QoL and EORTC QLQ-C30 - functioning)		EORTC QLQ-C30 GHS/QoL	-
	MONALEESA 7		TTD (HR-QoL and EORTC QLQ-C30 – pain, fatigue, emotional, social funct.)		Work productivity	-
	MONARCH 3		Financial difficulties			Symptoms (diarrhea,
ADEIVIACICLID	MONARCH 2	Pain scores (TTD) Role functioning		כחט		loss)

In the ADVANCED SETTING, overall, adding CDK 4/6i did not worsen patients' HR-QoL

- Positive trend towards pain improvement
- Gastrointestinal scores favored the control arm in abemaciclib-containing trials

Di Lauro et al, ESMO Open 2022; Tolaney et al, Lancet Oncol 2020; Kaufman et al, Oncologist 2020; Rugo et al, Ann Oncol 2018; Rugo et al, Br Cancer Res and Treat 2019; Harbeck et al, Ann Oncol 2016; Rugo et al, EJC 2018; Verma et al, Br Cancer Res and Treat 2016; Janni et al, Br Cancer Res and Treat 2018; Fashing et al, The Breast 2020; Harbeck et al, Ther Adv Med Oncol 2016; Lu et al, Ann Oncol 2019; Harbeck et al, Cancer Res 2020; Tripathy et al, JCO Fashing et al, Ann Oncol 2021; Fashing

CDK 4/6i-related quality of life (QoL) - EBC

% Patients



- In the EARLY SETTING, the HR-QoL was maintained with CDK 4/6i added to adjuvant ET
 - The only exception was represented by diarrhea with abemaciclib within the Monarch-E trial (FACT-B C5), however, no significant differences were observed in patients being bothered by treatment side effects (FACT-B GP5)

Impact of dose reduction: ABC

MONARCH-2 and MONARCH-3

MONALEESA-2, MONALEESA-3 and MONALEESA-7*



NO detrimental effect of dose reduction/RDI on CDK 4/6 magnitude of benefit

Rugo et al, The Oncologist 2021; Burris et al, British J Canc 2021, Hart et al ASCO 2022

Impact of dose reduction: EBC

100

75

50

25

0

Invasive Disease Free Survival (%)

MONARCH-E





Breast cancer: impact of AGE according to disease setting

430,210 patients from the SEER database



EARLY BC: death from BC

- predominant cause of death for pts <50 yy.
- predominant cause of death for at least 13-17 yy after diagnosis in pts 50-59 yy
- at older ages, the probability of competing causes of death becomes increasingly important.

METASTATIC BC:

 death from BC is the overwhelming cause of death regardless of age at diagnosis, with most deaths occurring within the first 5 years from diagnosis.

ELDERLY patients - ABC

			Palbociclib PALOMA trials	Ribociclib MONALEESA trials	Abemaciclib MONARCH trials
TI	REATMENT NAIVE	PFS	PALOMA-2: 65+ years: HR 0.57 (95% CI 0.39-0.84)	MONALEESA-2: +AI, 65+ years: HR 0.658 (9 CI 0.466-0.928) MONALEESA-3: +fulvestrant, over 65 years: 0.597 (95% CI 0.436-0.818)*	MONARCH-3: 5% 65+ years: HR 0.57 (95% CI 0.36-0.90) HR
		Toxicity	65+ years: any grade neutropenia 81%; febrile neutropenia 1%	65+ years: nausea, alopecia diarrhea and vomiting in >1 of patients; >10% increase fatigue and grade 1–2 anem	a, Age-specific data 0% not available in ia
	PRE TREATED	PFS	PALOMA-3: 65+ years: HR 0.35 (95% CI 0.19-0.62)	MONALEESA-3: * +fulvestrant, 65+ years: HF 0.597 (95% CI 0.436– 0.818)*	MONARCH-2: 8 65+ years: 0.620 (95% CI 0.447- 0.860)
		Toxicity	70+ years: grade 3–4 neutropenia 13.9%	Age-specific data not availal	ble Age-specific data not available

*The MONALEESA-3 study included treatment-naïve and pretreated patients.

AI, aromatase inhibitor; CI, confidence interval; HR, hazard ratio; PFS, progression-free survival.

- CDK 4/6 inh. + ET appears to be **equally effective** in older pts as in younger pts.
- Older pts seem to experience similar to slightly increased toxicity than younger pts.
- <u>QOL DATA ARE LACKING</u>: some older patients (typically subject to a shorter life expectancy, competing comorbidities, polypharmacy) may value **QoL as important or even more important than survival**.

ELDERLY patients- EBC

MONARCH-E

- Older Patients had more comorbidities, higher baseline ECOG PS Scores, and received less prior (neo) adjuvant CT
- Older Patients derived **similar abemaciclib benefit** to ITT Population (*also within Cohort 1*)
- Adverse Event rates were similar between age groups
- Dose Adjustments were more common in older patients
- **QoL** was similar between arms and across age subgroups

Abemaciclib + ET					
AE, %	Grade	Overall n=2791	<65 n=2361	≥ 65* n=430	
Αην ΑΕ	Any	98	98	99	
	G≥3	50	49	54	
Clinically relevant AEs					
	G1	45	46	37	
Diarrhea	G2	31	31	30	
	G3	8	7	12	
	G1	23	23	21	
Fatigue	G2	15	14	20	
	G3	3	2	6	
Neutropopia	G1/2	26	27	22	
Neutropenia	G≥3	20	20	19	
ALT increase	G1/2	10	10	7	
ALI IIICI ease	G≥3	3	3	3	
	Any	3	2	3	
	G≥3	1	1	1	
	Any	3	3	3	
	G≥3	<1	<1	<1	

		IDFS		DRFS			
	ITT	<65	<65 <u>≥65</u>		<65	≥65	
Events/N							
Abemaciclib + ET	336 /2808	270 /2371	66 /437	281 /2808	230 /2371	51 /437	
ET alone	499 /2829	414 /2416	85 /413	421 /2829	353 /2416	68 /413	
HR (95% CI)	0.664 (0.578, 0.762)	0.646 (0.554, 0.753)	0.767 (0.556, 1.059)	0.659 (0.567, 0.767)	0.647 (0.548, 0.764)	0.748 (0.520, 1.077)	
Interaction p-val.	NA	0.35		NA	0.49		



Hamilton et al. ASCO 2023

Conclusions

- Safety profile of CDK4/6i is now well characterized
- Data support dose reduction as an effective measure to manage treatment related Aes
- Despite dose reduction, treatment efficacy remains uncompromised
- QoL is preserved, despite the higher incidence of AEs as compared to endocrine monotherapy
- Patient education and proactive management are essential components of successful CDK4/6 inhibitor therapy.